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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Inventor: Reinhard Plaschka

Application No.: 09/926,062
Confirmation No: 8599

Filed: November 19, 2001

Attorney No.: PLAS3002/JEK/JJC
Customer No.: 23364

Examiner: Mark T. Henderson

Art Unit: 3722

For: VALUE DOCUMENT

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INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to Rule 37 C.F.R. §§ 1.51(b), 1.56, 1.97 and 1.98, this Information Disclosure Statement is submitted in the above-identified patent application. A listing of documents to be published on the face of any patent granted from this application is submitted herewith on Form PTO-1449. Any other documents or information submitted for consideration by the Examiner are listed in this paper. A copy of each U.S. and foreign patent, or each publication or portion thereof listed or herein identified is submitted herewith.

ADDITIONAL DOCUMENTS OR INFORMATION NOT LISTED ON
ACCOMPANYING FORM PTO-1449 SUBMITTED FOR CONSIDERATION

Copies of lists of citations submitted to European Patent Office in oppositions of corresponding European patent application EP 1 156 934 B1.

CONCISE STATEMENT OF RELEVANCY
(NON-ENGLISH LANGUAGE DOCUMENTS ONLY)

All of the documents relate to data carriers having security elements.

STATEMENT REGARDING TRANSLATIONS

English language translations other than abstracts and claims are not presently available for the non-English language documents.

DE 43 27 995 A1 is equivalent to WO 95/05945 which includes an English language abstract on the face of the document submitted herewith.

DE 692 09 945 T2 is equivalent to EP 0 518 740 B1 which includes an English language set of claims.

DE 197 37 618 A1 is equivalent to US 6,570,648 B1, both of which are included herewith.

DE 37 41 179 A1 is equivalent to US 5,433,807, both of which are included herewith.

DE 42 40 743 A1 is equivalent to US 5,362,315, both of which are included herewith.

EP 0 227 423 A2 is equivalent to CA 1,212,021 which includes an English language abstract on the face of the document submitted herewith, and to EP 0 118 667 which includes a set of English language claims.

DE 44 16 191 A1 is equivalent to US 5,851,604 both of which are included herewith.

DE 33 01 357 C1 is equivalent to EP 0 118 667 B1, both of which are included herewith.

STATEMENT OF CUMULATIVE INFORMATION

As noted above, some of the documents submitted herewith are equivalents to one another.

DE 43 27 995 A1 is equivalent to WO 95/05945.

DE 692 09 945 T2 is equivalent to EP 0 518 740 B1.

DE 197 37 618 A1 is equivalent to US 6,570,648 B1.

DE 37 41 179 A1 is equivalent to US 5,433,807.

DE 42 40 743 A1 is equivalent to US 5,362,315.

EP 0 227 423 A2 is equivalent to CA 1,212,021.

DE 44 16 191 A1 is equivalent to US 5,851,604.

DE 33 01 357 C1 is equivalent to EP 0 118 667 B1.

CA-A-2,032,587 is equivalent to EP 435 029 A cited in the information disclosure statement of November 19, 2001 in the present application.

STATEMENT OF TIMELINESS

This information disclosure statement is submitted after three months from (i) the filing date of the above-identified U.S. National Patent application, or (ii) after three months from entry into the U.S. National Stage of the above-identified International Application, or (iii) the date of entry into the U.S. National Stage of the International Application that has been assigned the above-identified U.S. Patent application number, whichever applies; and after the mailing date of the first Office Action on the merits of the above-identified application, but prior to issuance of any Final Action, Notice of Allowance, or Action closing prosecution sent in such application. The certification under 37 C.F.R. § 1.97(e) is submitted separately or below, or the fee required under 37 C.F.R. § 1.97(c) and § 1.17(p) is submitted herewith.

CERTIFICATION

The documents cited in this statement were cited after April 23, 2004 in the corresponding European patent application. Accordingly, each item of information contained in the information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

Accordingly, no fee is considered necessary.

The Examiner is requested to acknowledge consideration of the information provided in this paper in accordance with prescribed procedures.

If any issues remain that may be resolved by a telephone or facsimile communication with the Applicants' Attorney, the Examiner is invited to contact the undersigned at the numbers shown below.

BACON & THOMAS, PLLC
625 Slaters Lane, Fourth Floor
Alexandria, Virginia 22314-1176
Phone: (703) 683-0500

Date: June 29, 2004

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Justin J. Cassell', with a stylized, cursive script.

JUSTIN J. CASSELL
Attorney for Applicants
Registration No. 46,205



Customer 23364

Sheet 1 of 2

B/O Form PTO-1449 U.S. Department of Commerce Patent and Trademark Office Information Disclosure Statement by Applicant	Atty. Docket Number	Serial Number
	PLAS3002/JEK/JJC	09/926,062
	Applicant	
	Reinhard PLASCHKA et al.	
	Filing Date	Group
	23 August 2001	3722

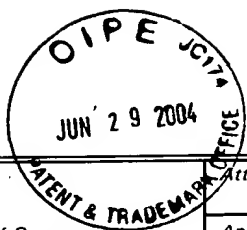
U.S. Patent Documents

Examiner Initial	Document Number	Date	Patentee/Applicant	Class	Subclass	Filing Date if Appropriate
	4,428,997	Jan. 1984	Shulman			
	5,362,315	Nov. 1994	Müller-Rees et al.			
	5,433,807	Jul. 1995	Heckenkamp et al.			
	5,851,604	Dec. 1998	Müller-Rees et al.			
	6,570,648	May 2003	Müller-Rees et al.			

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Foreign Patent Documents

Examiner Initial	Document Number	Publication Date	Country/Agency	Class	Subclass	Translation	
						Yes	No
	2,032,587	Jun. 1991	Canada				
	2,238,743	Jun. 1997	Canada				
	0 227 423 A2	Jul. 1987	EPO				
	0 340 898 B1	Nov. 1995	EPO				
	0 071 160 A2	Feb. 1983	EPO				
	0 118 667 B1	Sep. 1984	EPO				X
	0 518 740 B1	Dec. 1992	EPO				X
	0 657 297 A1	Jun. 1995	EPO				X
	0 779 863 B1	Jun. 1997	EPO				X
	2 698 390	May 1994	France				X
	197 37 618 A1	Mar. 1999	Germany				X
	3301357 C1	--	Germany				X
	3741179 A1	Jun. 1989	Germany				X
	4240743 A1	Jun. 1994	Germany				X
	4327995 A1	Feb. 1995	Germany				X
	4416191 A1	Nov. 1995	Germany				X
	69208945 T2	--	Germany				X
	09267592 A	Oct. 1997	Japan				
	10058870 A	Mar. 1998	Japan				
	95/05945	Mar. 1995	WIPO				X



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B/O Form PTO-1449 U.S. Department of Commerce Patent and Trademark Office Information Disclosure Statement by Applicant	Atty. Docket Number PLAS3002/JEK/JJC	Serial Number 09/926,062
	Applicant Reinhard PLASCHKA et al.	
	Filing Date 23 August 2001	Group 3722

Other Documents (Including Author, Title, Date, Pertinent Pages, Place of Publication, Etc.)

	CA1212021 Abstract, TAGGING MEANS FOR FINELY DISPERSED SYSTEMS, downloaded from esp@cenet Ansicht June 15, 2004 at http://v3.espacenet.com/textdoc?DB=EPODOC&IDX=CA1212021&QPN=CA1212021..
	"Counterfeit Banknotes", POSTnote, Vol. 77, March 1996.
	"Know Your Money", United States Secret Service, downloaded from http://www.secretservice.gov/money_design/features1996.shtml , June 4, 2004.

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Examiner	Date Considered
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EXAMINER: Initial if citation is considered, whether or not citation is in conformance with MPEP 609; Draw a line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.